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2020

Open Access 2020 Initiative
OA2020 Network Progress Update – Q2 2018

9 July 2018

Reporting today:

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EXPRESSION OF INTEREST

– We aim to transform a majority of today's scholarly journals from subscription to OA publishing in accordance with community-specific publication preferences.

– We will pursue this transformation process by converting resources currently spent on journal subscriptions into funds to support sustainable OA business models.

OA2020 Strategy

Project Phases	Strategic Objectives	Key Performance Indicators (KPIs)
Gain consensus	▪ Raise awareness of the Open Access 2020 Initiative	▪ Increase in number of signatories of the Expression of Interest
Build capacity	▪ Enable institutions to take individual action toward the goals of OA2020	▪ Local data analyses, roadmaps, actions
Take action	▪ Motivate and synchronize a critical mass of organizations and institutions strategic to OA2020 goals in collective action	▪ Cross-institutional, regional and global concerted actions

OA2020 Tactics

Project Phases

Tactics

Project Phases	Tactics
Gain consensus	- Present OA2020 at international conferences - Meet with strategic institutions and organizations - Campaign among top research-intensive institutions globally - Cultivate partnerships with other open access initiatives - Develop OA2020 National Contact Point network for local multiplier effect - Engage all stakeholder communities: funders, national research councils, university presidents, researchers, libraries, etc. - Contribute to the literature
Build capacity	
Take action	

OA2020 - Tactics

Project Phases	Tactics
Gain Consensus	OA2020 Transformation Workshops to develop knowledge and skills related to:
Build Capacity	- Current open access landscape and how OA2020 is an essential part of a comprehensive OA strategy - The relevance of OA publishing and the economic grounding for OA2020 - Components of a roadmap to open access and strategies to divest of subscriptions and invest in open access
Take action	- New criteria to assess value and publisher negotiation tactics - Overview of transformative agreements (ie offsetting, publish and read, etc.) and open access workflow efficiencies (fund and agreement management)

OA2020 - Tactics

Project Phases

Tactics

Gain consensus

Build capacity

Take action

Foster inter-institutional and consortium-level actions

Support national-level license negotiations (ie Projekt DEAL, etc.)

Seek cross-border strategy alignment (LIBER, EUA, ACRL, etc.)

Cultivate partnerships with other initiatives (SPARC-Europe, etc.)

14th Berlin Open Access Conference

2018 Q2 Invited Presentations at International Conferences

UK – UKSG Annual Conference, Opening PlenaryKorea – KESLI
Online Information Forum
Russia – Association of Science Editors and Publishers
Conference
Italy – Open Science ForumSweden – License and Open Access
Keynote
Greece – OPERAS Conference
USA – American Libraries Live webcast
UK – LIBERUM

Strategic objective 1
KPI – Increase signatories of the Expression of Interest[2020]

2018 Q2 - 3 new signatories from 2 countries

ORGANIZATION	COUNTRIES AND REGIONS	DATE
Royal Danish Library	Denmark	2018-06-26
Hellenic Academic Libraries Link	Greece	2018-06-08
Institute of Mediterranean Forest Ecosystems	Greece	2018-04-02

Signatory count at end Q2 2018		
Continent	**Countries**	**EoIs**
Africa	3	4
Americas	3	12
Asia	6	13
Europe	22	80
	34	**109**

Strategic objective 2
Enable institutions to take individual action

be informed   **take action**   **collaborate**   **learn more**   **events**   **news**

OA2020 Resources

OA2020 Executive Summary PDF

OA2020 Expression of Interest Form for Signature.pdf

OA2020 Letter to Administration Template.pdf

List of Institutions who have endorsed the OA2020 Initiative

Sample MPDL Gold OA Publisher Service Level Agreement DOCX

OA2020 Presentations

OA2020 Conceptual Framework PDF

How OA2020 alters the publishing industry's balance of power: Strengthening the market's competitive forces PDF

Why do researchers and libraries support OA2020? An American Libraries webcast.

learn more

Gain insight

Promote OA2020

Keep connected

FAQ

Expression of Interest

List of Signatories

13th Berlin Open Access Conference

Strategic objective 2
Enable institutions to take individual action

– OA2020 Community ListServ for sharing best practice and information community@oa2020.org

– OA2020-ICOLC listserv icolc-oa2020@lyralists.lyrasis.org

– OA2020.US network

– OA2020-de German National Contact Point

OPEN ACCESS 2020 DE

Nationaler Open-Access-Kontaktpunkt

HOME   ABOUT   OA2020 & THE EOI   RATIONALE   FAQS   RESOURCES & CONTACT

About this Site

We're committed to open access.

This site was created by an informal group of U.S. institutions who have signed the Expression of Interest (EoI) of the OA2020 initiative, organized by the Max Planck Digital Library. These institutions believe that OA2020 represents a viable path forward to transitioning the corpus of scholarly journals from subscription-based to open access.

Represented on this site as of March 2018 are the following U.S. EoI signatories:

1. California State University, Northridge
2. University of California, Berkeley
3. University of California, Davis
4. UCSF (University of California, San Francisco)
5. University of California, Merced
6. University of California, Riverside
7. University of North Texas
8. Iowa State University
9. University of California, Los Angeles
10. Wayne State University

We offer our statements & tools.

OA2020 is one of several movements aimed at establishing universal open access for scholarly journal literature. So, why did we choose to sign on to OA2020? And how does OA2020-organized by European institutions-translate to the needs of scholarly publishing stakeholders in the U.S.?

We have created this site to offer explanation both of *what* we signed onto with the OA2020 EoI, and *why* we did so.

We also hope that other U.S. institutions and campuses considering paths forward to universal OA can make practical use of this site in answering: Why should we want to implement universal OA, and how does OA2020 advance us toward this goal?

Please see the Resources & Contact page if you have further questions about our considerations and processes in getting institutional approval for the EoI.

If you would like to get in touch with us, please e-mail us at: oa2020us@googlegroups.com.

https://oa2020.us/about-this-site/

2018 Q2 OA2020 Transformation Workshops

USA – ICOLC North American Annual Conference
Korea – Korea Institute of Science and Technology Information consortium
South Africa – Universities South Africa Funding Strategy Group

MPDL Workshop 5-7 June
16 participants from library consortia from Austria, Belgium, Brazil, Denmark, Iceland, Israel, Qatar, Sweden, Switzerland, UK

OA2020-DE Workshop (Bielefeld)

Efficiencies and Standards for Article Charges (ESAC) Workshop

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OA2020 Transformation Workshop topics

- Growing relevance of OA publishing

- Gathering and analyzing publication and spending data

- Alternative access methods

- Stakeholder engagement

- Transformative agreements

- Publisher negotiation strategies

- Pure gold OA agreements, workflows, resources

- International collaboration (OA2020 in the broader OA landscape)

Strategic objective 2
KPI – Individual action (roadmaps, data analyses, etc.)

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Local OA2020 Roadmaps

While continuing to support new and improved forms of OA publishing, the OA2020 Initiative seeks to propel the Open Access movement forward by transforming a majority of today's scholarly journals from subscription to OA publishing in accordance with community-specific publication preferences. Below is a small selection of sites where you can find a wealth of information on the different strategies and roadmaps that institutions are adopting to **divest of subscriptions** and **invest in open access**, in order to achieve our common vision.

University of California, *https://libraries.universityofcalifornia.edu/about/initiatives/scholarly-communication/*

United States, *https://oa2020.us/tools-resources-2/*

Austria, *http://www.oana.at/*

Canada, *http://www.crkn-rcdr.ca/en/institutional-mobilization-toolkit*

Finland, *https://www.kiwi.fi/display/finelib/Scholarly+publications+-+FinELib+negotiations*

Germany, *https://www.projekt-deal.de/about-deal/*

Germany, *http://oa2020-de.org/en/pages/aims/*

Max Planck Society, *https://openaccess.mpg.de/2255290/offsetting-effects-for-the-mpg-in-2017*

Netherlands, *http://www.vsnu.nl/Roadmap-open-access-2018-2020-English/*

Sweden, *http://openaccess.blogg.kb.se/english/*

Switzerland, *https://www.swissuniversities.ch/.../Open_Access__strategy_final_DE.pdf*

take action

Endorse OA2020

Create a Roadmap

Have Impact

Expression of Interest

List of Signatories

13th Berlin Open Access Conference

Strategic objective 2
KPI – Individual action (roadmaps, data analyses, etc.)

University of California Call to Action with strategic priorities for journal negotiations and Declaration of Rights and Principles to Transform Scholarly Communication by the faculty-led University Committee on Library and Scholarly Communication (UCOLASC).

Many peer institutions and consortia in Europe and elsewhere are actively pursuing this goal by committing to a transition to immediate open access publication as an alternative to subscriptions. From the global OA2020 initiative with more than 100 signatories in 35 countries, to Projekt DEAL in Germany, and "No Deal No Review" in Finland, a global movement is gathering to address the unsustainability and restrictive nature of subscription-based journal publication by withdrawing library support for subscriptions and redirecting financial investments toward sustainable open access.

These forces are both the product and the markers of irreversible change. Technology has brought with it new affordances and opportunities that are changing the conduct and dissemination of research. Public funding for higher education is unlikely to be restored to previous levels. And our peer institutions have demonstrated that it is possible to break away from existing licensing practices and still serve the academic mission. There is no going back from any of these changes; we can only go forward.

https://osc.universityofcalifornia.edu/2018/06/championing-change-in-journal-negotiations/
https://libraries.universityofcalifornia.edu/groups/files/slasiac/docs/NegotiatingJournalAgreementsAtUC_ACallToAction_final.pdf
https://senate.universityofcalifornia.edu/_files/committees/ucolasc/scholcommprinciples-20180425.pdf

Strategic objective 2
KPI – Individual action (roadmaps, data analyses, etc.)

Offsetting as a path to full Open Access: MIT and the Royal Society of Chemistry sign first North American 'read and publish' agreement

In the MIT Libraries, we have been following this offsetting approach closely, as it seems to have the potential to transition subscription-based journals to a fully open access model. We have felt, though, that there was one major contingency we would want met in order for us to go down this path: the agreement would need to establish that over the long term, the publisher plans to use the hybrid approach to enable a transition to full OA. Our concern is that –if perpetuated indefinitely– a hybrid model will not realize the full potential of open access to make research available to all, worldwide, rather than to only those with the capacity to purchase access.

Given this context, we are pleased to report that we have just completed a license with the Royal Society of Chemistry –the first "Read and Publish" license agreement among North American institutions – that contains language acknowledging that the read and publish model is a step on a path to full OA. The language reads:

Publisher represents that the Read & Publish model, with its foundation in "hybrid" open access – where some articles are paywalled and others published open access – is a temporary and transitional business model whose aim is to provide a mechanism to shift over time to full open access. The Publisher commits to informing Customer of progress towards this longer-term aim on an annual basis, and to adjusting Read & Publish terms based on its progress towards full open access.
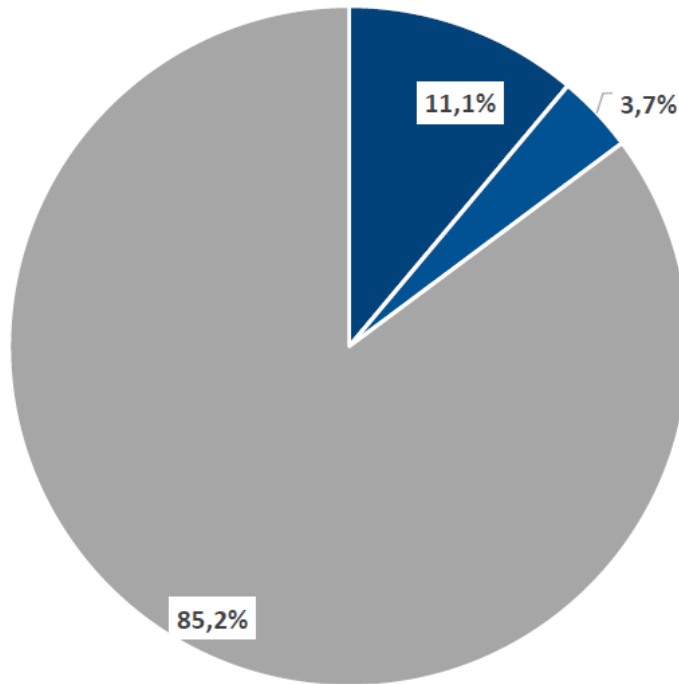
Strategic objective 2
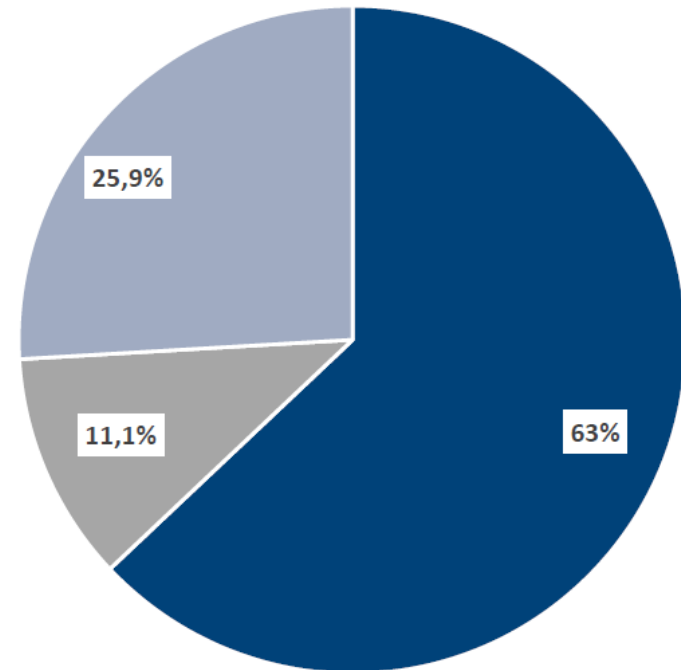# KPI – Individual action (roadmaps, data analyses, etc.)

EUA
European University Association

Inclusion of APCs in current contracts

11,1% 3,7%

85,2%

■ Yes ■ Offsetting provisions ■ No

Inclusion of APCs in future big deal contracts

25,9%

11,1%

63%

■ Yes ■ No ■ Still under analysis

EUA Big DEAL Survey Report

Strategic objective 3 - Motivate and synchronize organizations and institutions in concerted action

European High-Level summit meeting on Open Access negotiations

3. May 2018

Upon invitation by Horst Hippler, president of the HRK, a high-level summit meeting was held yesterday in Berlin in the presence of Robert-Jan Smits, the recently appointed Open Access envoy of the EU.

Teams of university presidents, funding organisations and librarians that are involved in the ongoing independent negotiations with the major publishers (Elsevier, SpringerNature, Wiley) in the various countries, exchanged their experiences. Policies and concrete actions to accelerate the transition from the current, subscription-based publication system, to a complete open access "Publish and Read" system were discussed.

The participants agreed on aligning their strategies and striving for optimum transparency on the procedure and outcome of the negotiations.

"We see that the transition to open access is too slow, and I am utterly upset and concerned about this" Professor Hippler said. "It was broadly echoed in the meeting, that the limits of partnership of academic institutions with these large publishers have been reached."

https://www.hrk.de/press/press-releases/press-release/meldung/european-high-level-summit-meeting-on-open-access-negotiations-4364/

Strategic objective 3
KPI - regional and global concerted actions

- – Closed meeting(s) among consortia with SpringerCompact agreements

- – Annual Reviews "flipping" meeting

- – Meeting with Robert-Jan Smits, Special Advisor on OA and Innovation to the European Commission

- – Southern European Libraries Link Statement (May 2018) https://www.heal-link.gr/SELL/wp-content/uploads/2018/06/SELL_Thessaloniki_statement_may_2018.pdf

- – Ongoing partnerships with EUA, LIBER, OASPA….

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Preparations for the 14th Berlin Open Access Conference

- High-level European Summit as basis
- Global representation
- 3-5 delegates per country
    - Funders and Ministries (political-economic)
    - HE and Research (strategic)
    - National licensing bodies (operational)
- Analyze and compare strategies
- Measure collective progress of approaches
- Define concerted actions on a regional and global scale

Outlook Q3

- LIBER OA2020 Workshop (July)
- OA2020 "Advanced" workshop 25-27 September
- Impact of current Elsevier negotiations and cancellations
- Further preparation for the 14th Berlin OA conference
- OA2020 webcast series (see workshop topics +…?
- *What else would be helpful to you and to us?*

Open Access 2020 United States Country Report July 2018

Curtis Brundy
AUL for Scholarly Communications and Collections
Iowa State University

July 9, 2018

OA2020 United States Gain Consensus

Project Phases

Progress

Gain consensus

Build capacity

Take action

- **Presentations:** Charleston Library Conference 2017, American Libraries Live 2018, Society for Scholarly Publishing May 2018.

- **Publications:** College Research Libraries News Jan. 2018; Nature News April 2018; Mackie-Mason Q and A with Poynder April 2018.

- **Organization:** National OA2020 Working Group. oa2020.us

- **Signatories:**

  - 2017 - 5 (UCSF, UC Berkeley, UC Davis, UC Merced, Cal State Northridge)

  - 2018 - 5 (University of North Texas, Wayne State University, UCLA, UC Riverside, Iowa State University)

OA2020 United States Build Capacity

Project Phases

Progress

Gain Consensus

Build Capacity

Take action

Activities

- Pay It Forward Study July 2016

- OA2020 Transformation Workshop: ICOLC April 2018

- UC Pathways to Open Access (*UC event not OA2020*)

  - Report February 2018

  - Forum: Choosing Pathways to Open Access Oct. 2018

OA2020 United States Take Action

Project Phases

| Gain consensus |
| Build capacity |
| **Take action** |

Progress

Consortium-level

- UC System Offset Pilots 2019

- Greater Western Library Association Offset Taskforce July 2018

Library-level

- MIT Offset agreement with RSC June 2018 (*not OA2020*)

Other

- 2.5% Initative, Scholar Owned OA (ARL, SPARC, COS)

OA2020 Network Progress Update Germany

Dirk Pieper

National Contact Point Open Access OA2020-DE/ Bielefeld UL

09 July 2018

Open Access 2020 signees Germany (2018-07-09)

be informed | take action | collaborate | learn more | events | news

Signatories Germany
National contact point *http://oa2020-de.org/*

Alexander von Humboldt Foundation

Bavarian Academy of Sciences and Humanities

Beilstein-Institut

Bielefeld University

Chemnitz University of Technology

Deutsche Forschungsgemeinschaft (DFG)

European Geosciences Union (EGU)

Federal Institute for Vocational Education and Training (BIBB)

Fraunhofer-Gesellschaft (FhG)

German Council of Science and Humanities (Wissenschaftsrat)

German National Academy of Sciences Leopoldina

German National Library of Science and Technology (TIB)

German Quaternary Association (DEUQUA)

German Rectors' Conference (HRK)

German Society for Pre- and Protohistory (DGUF)

Göttingen State and University Library (SUB)

Helmholtz Association

Hugo Grotius gGmbH – gemeinnützige Gesellschaft zur Förderung der Rechtswissenschaften

Johannes Gutenberg-Universität Mainz

Leibniz Association

Leipzig University

Mainzer Wissenschaftsallianz

Max Planck Society (MPG)

Technische Universität Braunschweig

University of Kassel

University of Potsdam

WWU Münster

ZB MED – Information Centre for Life Science

be informed

About OA2020

How it Works

Why it Matters

Who Benefits

OA2020 Community

Expression of Interest

List of Signatories

13th Berlin Open Access Conference

OA2020-DE Pipeline

Gain concensus

Proposal to Alliance of Science Organisations 2016

Cooperation agreement January 2017

Build capacity

Project team established 2016

Project staff complete since December 2017

https://oa2020-de.org/en/pages/projectorganisation/

Take action

Project objectives an milestones

Communication strategy

Started data analysis

(DEAL negotiations)

OA journal publisher consortia

OA ebook model

OA2020-DE Tactics

Project Phases

Tactics

Gain consensus

Build capacity

Take action

- Present OA2020-DE at national and international conferences
- Workshop strategy for different target groups (acquisition librariens, top management of EoI signess, publishers, professional societies)
- Professional articles (e.g. on APC price levels)
- General outreach using new formats (e.g. Open Science radio)
- Create information material, hand handouts

OA2020-DE Tactics

Project Phases

Tactics

| Gain Consensus |
| **Build Capacity** |
| Take action |

- Create a support system for individual institutions to analyse the relationships between publications and publication costs

- Investigation of financial flows and development of financing models

- Conceptual development of the negotiation strategy of the scientific institutions towards science publishers

- Developing new OA transformation models

- Motivate libraries to invest <u>free Elsevier money</u> to open access

- Cooperation with related projects like INTACT

OA2020-DE Tactics

OA2020-DE Tactics

Project Phases

Tactics

Gain consensus

Build capacity

Take action

OA2020-DE lectures and presentations

Open access transformation and media acquisition workshop

Promotion of alternative publishing models to support gold open access

Pilot project Open Library Political Science

Concept for consortia with open access journal publishers

Concept for national open access transformation index (to be finalised by the project team September 2018)